

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	'ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/660,852	09/13/2000	Gerard Vahee	END920000075US1	7942
7590 04/08/2009 John R. Pivnichny			EXAMINER	
IBM, N50/040-4 1701 North Street Endicott, NY 13760		•	FRENEL, VANEL	
			ART UNIT	PAPER NUMBER
			3687	•
			<u> </u>	
		• .	MAIL DATE	DELIVERY MODE
			04/08/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Application No. Applicant(s) Notification of Non-Compliant Appeal Brief 09/660.852 VAHEE ET AL. (37 CFR 41.37) Examiner Art Unit Vanel Frenel 3627 -The MAILING DATE of this communication appears on the cover sheet with the correspondence address-The Appeal Brief filed on 31 August 2006 is defective for failure to comply with one or more provisions of 37 CFR 41.37. To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within ONE MONTH or THIRTY DAYS from the mailing date of this Notification, whichever is longer. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136. The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper 1. 🔲 heading or in the proper order. The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to. canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)). At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)). (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)). The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)) The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)). 7. 🔲 The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)). The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)). The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)). Other (including any explanation in support of the above items): In particular the Summary of Claimed Subject Matter does not contain a concise explanation for each of the independent claims in the appeal, referring to the specification by page and line number and to the drawings if nay, by reference charcters; and /or (b)the brief fails to (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth

F. RYAN ZEENDER
PRIMARY EXAMINER

paragraph, In this Appeal Brief claim 11 contains "means for" language which must be argued separately.

	Application No.	Applicant(s)			
Communication Re: Appeal	09/660,852	VAHEE ET AL.			
Сопшинсацон ке. Арреаг	Examiner	Art Unit			
	Vanel Frenel	3627			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address					
1. The Notice of Appeal filed on 31 August 2006 is not acceptable because:					
(a) 🗵 it was not timely filed.					
(b) the statutory fee for filing the appeal was not submitted. See 37 CFR 41.20(b)(1).					
(c) the appeal fee received on was not timely filed.					
(d) the submitted fee of \$ is insufficient. The appeal fee required by 37 CFR 41.20(b)(1) is \$					
(e) The appeal is not in compliance with 37 CFR 41.31(a)(1) in that no claim has been twice rejected.					
(f) a Notice of Allowability, PTO-37, was mailed by the Office on					
2. The appeal brief filed on is NOT acceptable for the reason(s) indicated below:					
(a) the brief and/or brief fee is untimely. See 37 CFR 41.37(a).					
<u> </u>					
( ) = 37 C) ((41.20(b)(2).					
(c) the submitted brief fee of \$ is insufficient. The brief fee required by 37 CFR 41.20(b)(2) is \$					
The appeal in this application will be dismissed unless corrective action is taken to timely submit the brief and requisite fee. See 37 CFR 41.37(a)(1). Extensions of time may be obtained under 37 CFR 1.136(a). See 37 CFR 41.37(e).					
2 The appropriate the second s					
3. The appeal in this application is DISMISSED because:					
(a) the statutory fee for filing the brief as required under 37 CFR 41.20(b)(2) was not timely submitted and the period for obtaining an extension of time to file the brief under 37 CFR 1.136(a) has expired.					
(b) ☐ the brief was not timely filed and the period for obtaining an extension of time to file the brief under 37 CFR 1.136(a) has expired.					
(c) a Request for Continued Examination (RCE) under 37 CFR 1.114 was filed on					
(d) other:					
4. Because of the dismissal of the appeal, this application:					
(a) is abandoned because there are no allowed claims.					
(b)  is before the examiner for final disposition because it contains allowed claims. Prosecution on the merits remains CLOSED.					
(c) is before the examiner for consideration.					